Introduction to 2D Hydraulics Equations

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Hydraulic Modeling





Outline

- Mass Conservation (Continuity)
- Momentum Conservation (Depth-Averaged)
 - Acceleration
 - Coriolis term
 - Hydrostatic pressure
 - Turbulent mixing
 - Friction
- Diffusion Wave Equation
- Numerical Methods



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Review of Basic Concepts

- Continuity: Fluid mass cannot be created nor destroyed
- Uniform Flow: Flow is uniform in space
- Steady Flow: Flow is uniform in time
- Laminar Flow: Translational flow (high viscous force relative to inertial force)
- Turbulent Flow: Chaotic flow (small viscous force relative to inertial force)
- Shear stress: Tangential force per unit area
- Pressure: Normal force per unit area
- Streamline: Line drawn through flow where every point is tangential to velocity vector





Introduction

- Shallow Water Equations
 - System of partial differential equations with many forms which arise the simulation of fluid flow in rivers, oceans, coastal regions, atmospheric flows, and debris flows
 - Main assumption: vertical accelerations much smaller than horizontal accelerations
 - **Derived from:** Navier-Stokes equations which describe the conservation of mass and linear momentum in fluids



Mass Conservation

Assuming a constant water density

$$\frac{\partial h}{\partial t} + \nabla \cdot (hV) = q$$

Integrating over a computational cell

$$\frac{\partial}{\partial t} \iiint_{\Omega} d\Omega + \iint_{S} (\boldsymbol{V} \cdot \boldsymbol{n}) dS = Q$$

• Finite-Volume Discretization



- h: Water depth
- q:Water souce/sink
- Ω_i : Cell water volume
- A_k : Face area
- V_k : Face velocity

 n_{ik} : Outward face-normal unit vector

 Δt : Time step





Momentum Conservation

Momentum Equation (non-conservative form)



- From Newton's 2nd Law of motion (i.e. F=ma)
- Momentum: M = mV

- Assumes constant water density, small vertical velocities, hydrostatic pressure, etc.
- Non-linear and a function of both velocity and water levels
- Continuity and Momentum Equations are the Shallow Water Equations or sometimes referred to as the "Full Momentum" equations in HEC-RAS



- V: Velocity
- z_{a} : Water level
- g: Gravity
- v_t : Turbulent eddy viscosity
- *h*: Water depth
 - *R*: Hydraulic Radius
 - f_c : Coriolis Parameter
 - τ_h : Bed shear stress
 - τ_{s} : Surface stress



Accelerations

• Eulerian: Frame of reference fixed in space and time

$$\frac{\partial V}{\partial t} + (V \cdot \nabla) V$$

- Easier to compute
- Time-step restricted by Courant condition
- Lagrangian: Frame of reference moves with total derivative along flow path

$$\frac{\partial V}{\partial t} + (V \cdot \nabla) V = \frac{DV}{Dt} = \frac{V^{n+1} - V_X^n}{\Delta t}$$

- More expensive to compute
- Allows larger time-steps







Coriolis Acceleration

- Effect of rotating frame of reference (earth's rotation)
- Constant for the each 2D domain (f-plane approx.) $f_c = 2 \omega \sin \varphi$
 - ω : sidereal angular velocity of the Earth
 - φ : latitude. Positive for northern hemisphere. Negative for southern hemisphere
- Coriolis acceleration disabled by default to save computational time
- Negligible for most river and flood simulations
- When to enable Coriolis term?
 - Large domains

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• Higher latitudes









Pressure Gradient

- Assumes vertical water accelerations are small compared to gravity
- Total pressure is

$$P = P_{atm} + \rho g(z_s - z)$$

- *P_{atm}*: atmospheric pressure (assumed to be constant)
- ρ : constant water density
- g: gravity acceleration constant
- z_s : water surface elevation
- z: vertical coordinate
- Pressure gradient

$$\nabla P = \nabla P_{atm} + \rho g \nabla z_s$$



Bottom Friction

- Resisting force due to relative motion of fluid against the bed
- Bed Shear Stress

$$\boldsymbol{\tau}_{b} = \rho C_{b} | \boldsymbol{V} | \boldsymbol{V}$$

Drag Coefficient

$$C_b = \frac{gn^2}{R^{1/3}}$$

n :Manning coefficient
ρ: water density
g: gravity acceleration constant
|V| : velocity magnitude
R: hydraulic radius

• Friction coefficient

$$c_f = \frac{C_b}{R} |V| = \frac{gn^2}{R^{4/3}} |V|$$







Wind Stress

• Surface Stress is given by

 $\boldsymbol{\tau}_{s} = \rho_{a} C_{D} \left| \boldsymbol{W}_{10} \right| \boldsymbol{W}_{10}$

• Wind Reference Frame

 $\boldsymbol{W}_{10} = \begin{cases} \boldsymbol{W}_{10}^{E} - \boldsymbol{V} & \text{for Lagrangian} \\ \boldsymbol{W}_{10}^{E} & \text{for Eulerian} \end{cases}$





Wind Speed (m/s)





• Ignoring the following terms



• Expanding and dividing both sides by the square of its norm leads to

$$V = -\frac{\beta}{h} \nabla z_s \qquad \beta = \frac{R^{2/3}h}{n} \left| \nabla z_s + \frac{\nabla P_{atm}}{\rho g} - \frac{\tau_s}{\rho g h} \right|^{-1/2}$$

• Inserting the above equation into the Continuity Equation leads to the Diffusion-Wave Equation (DWE)

$$\frac{\partial h}{\partial t} = \nabla \cdot \left(\beta \nabla z_s\right) + S + q \qquad S = \nabla \cdot \left[\beta \left(\frac{\nabla P_{atm}}{\rho g} - \frac{\boldsymbol{\tau}_s}{\rho g h}\right)\right]$$

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SWE vs. DWE

- Use SWE for:
 - Flows with dynamic changes in acceleration
 - Studies with important wave effects, tidal flows
 - Detail solution of flows around obstacles, bridges or bends
 - Simulations influenced by Coriolis, mixing, or wind
 - To obtain high-resolution and detailed flows
- Use DWE for:
 - Flow is mainly driven by gravity and friction
 - Fluid acceleration is monotonic and smooth, no waves
 - To compute approximate global estimates such as flood extent
 - To assess approximate effects of dam breaks
 - To assess interior areas due to levee breeches
 - For quick estimations or preliminary runs



Local Inertial Approximation





- Also known as the Gravity-Wave Equations
- Compared to DWE
 - Includes temporal term
 - Velocity (momentum) is a state variable and is tracked in time

• 1D Wave equation

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Example: Sloshing in a Rectangular Basin



• Initial Water Surface



- Setup
 - Diffusive-Wave
 - Local Inertial Approximation
 - Implicit weighting factor: 1
 - Grid resolution: 100 m
 - Time step size: 5 s
 - Time-series at one end







• Flume Experiment





SWE





DWE



SWE-ELM







Numerical Dissipation

- Steady-flow in meandering river
- Computational errors especially from the advection term can produce artificial numerical dissipation
- Extremely coarse models benefit from ignoring the advection term





1000 ft |





Diffusion of Momentum

- Non-conservative Formulation
 - Only option in Version 5.0.7 and earlier,
 - Optional in Version 6.0



- Conservative Formulation
 - Default in Version 6.0
 - Only option for Eulerian SWE solver

$$\frac{DV}{Dt} = -g\nabla z_s + \frac{1}{h}\nabla \cdot \left(\mathbf{v}_t h \nabla V\right) - \frac{\boldsymbol{\tau}_b}{\rho R}$$

- $\Delta = \nabla^2$: Laplacian
- u_N : Face-normal velocity
- v_t : Turbulent eddy viscosity
- *h*: Water depth
- c_f : Non-linear friction coefficient



Mixing Term Formulation Comparison









Eddy Viscosity: Turbulence Model

- Old: Parabolic $v_t = Du_*h$
 - Versions 5.0.7 and earlier
 - Isotropic (same in all directions)
 - 1 parameter: mixing coefficient D
- New: Parabolic-Smagorisnky

$$\boldsymbol{v}_{t} = \boldsymbol{D}\boldsymbol{u}_{*}\boldsymbol{h} + \left(\boldsymbol{C}_{s}\boldsymbol{\Delta}\right)^{2} \left|\boldsymbol{\overline{S}}\right|$$

- *u*_{*} : Shear velocity
- *h* : Water depth
- D: Mixing coefficient
- D_L : Longitudinal mixing coefficient
- D_T : Transverse mixing coefficient
- C_s : Smagorinsky coefficient

$$\begin{bmatrix} 0 \\ D_{yy} \end{bmatrix} \quad \begin{array}{l} D_{xx} = D_L \cos^2 \theta + D_{T} \sin^2 \theta \\ D_{yy} = D_L \sin^2 \theta + D_T \cos^2 \theta \\ \end{array}$$

- Default method in Version 6.0
- Non-Isotropic (not the same in all directions)

 $\left|\overline{S}\right| = \sqrt{2\left(\frac{\partial u}{\partial x}\right)^2 + 2\left(\frac{\partial v}{\partial y}\right)^2 + \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x}\right)^2} \quad \boldsymbol{D} = \begin{bmatrix} D_{xx} \\ 0 \end{bmatrix}$

• 3 parameters: D_L , D_T , and C_s





• Low turbulence



• High turbulence







Turbulence

• No turbulence









Computational Mesh

- Mesh/grid can be unstructured
- Polygonal cells of up to 8 sides
- Cells must be concave
- Multiple 2D mesh can be run together or independently
- Grid Notation
 - Cells, Faces, Face Points (i.e. nodes or vertices), Computational Points, etc.
- State Variables
 - Cell Water levels
 - Face-normal Velocities







Numerical Methods

- Both DWE and SWE solvers are Semi-implicit
- Terms treated as:
 - Explicit: acceleration and diffusion terms
 - Semi-implicit: friction, flow divergence terms, and water level gradient
 - Fully-Implicit: pressure gradient term (for $\theta = 1$)
- By treating the "fast" pressure gradient term implicitly, the time step limitation based on the wave celerity can be removed
- Both DWE and SWE use Finite-Difference and Finite-Volume Methods
- Time integration: Finite-Difference
- Continuity Equation: Finite-Volume
- Momentum Equation: Finite-Difference (no control volume)



H-H

Implicit vs Explicit Time Stepping

- Explicit
 - Next state computed based solely on previous state
 - Easier to program and solve
 - Smaller time steps
 - Less robust
- Implicit
 - Next state computed based on previous state and next state
 - Harder to program and solve
 - Larger time steps
 - More robust

- Example: $\frac{\partial y}{\partial t} = F(y,t)$
 - Explicit

$$y^{n+1} = y^n + \Delta t F^n$$

• Implicit

$$y^{n+1} = y^n + \Delta t F^{n+1}$$

• Semi-implicit

$$y^{n+1} = y^n + \Delta t \Big[\theta F^{n+1} + (1-\theta) F^n \Big]$$



Eulerian-Lagrangian vs. Eulerian SWE Solvers

- ELM-SWE
 - Only solver available in V5.0.7 and earlier
 - Default in V6.0
 - Not limited by Courant condition
 - Excellent stability
 - Can have momentum conservation problems around shocks or where the flow changes rapidly
- EM-SWE
 - New to V6.0 as an option
 - Limited to Courant less than 1.0
 - Good Stability
 - Improved momentum conservation for all flow conditions

Strength/Feature/Capability	SWE-ELM	SWE-EM
Larger Time Step	x	
Best Stability	x	
Courant Stability Criteria		X
Diffusion Stability Criteria		X
Computational Speed	X	
Wet/dry > 1 cell per time step	x	
Best Momentum Conservation		x
Non-Conservative Mixing	X	
Conservative Mixing	X	X
Wind	X	X



Solution Procedure

• System of equations

 $\boldsymbol{\Omega} + \boldsymbol{\Psi} \boldsymbol{Z} = \boldsymbol{b}$

- Algorithm
 - 1. Compute Right-Hand-Side **b**
 - Contains explicit terms: advection, diffusion, wind, etc.
 - 2. Outer Loop (Assembly and Updates)
 - Update linearized terms and variables including coefficient matrix ${oldsymbol{\Psi}}$
 - 3. Inner Loop (Newton Iterations)

$$\boldsymbol{Z}^{m+1} = \boldsymbol{Z}^m - \left[\boldsymbol{\Psi} + \boldsymbol{A}^m\right]^{-1} \left(\boldsymbol{\Omega}^m + \boldsymbol{\Psi} \boldsymbol{Z}^m - \boldsymbol{b}\right)$$



- Z: Water level
- $oldsymbol{\varOmega}$: Water volume
- ψ : Coefficient matrix
- **b**:Right-hand-side
- *m*: Iteration index
- A: Diagonal matrix of
 - cell wet surface areas



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Boundary Conditions

- Stage Hydrograph. Upstream or downstream
- Flow Hydrograph. Upstream or downstream. Local conveyance and velocities computed automatically.
- Normal Depth BC. At downstream boundaries.
- Rating Curve BC.
- Wind. Only for shallow-water equations.
- Precipitation, evapotranspiration, and infiltration. Included as sources and sinks in the continuity equation.
- 1D reaches and 2D areas can be connected
- Multiple 2D areas can be connected to each other
- 2D areas can be connected to 1D lateral structures such as levees to simulate levee breaches





Computational Implementation

- Multiple 2D areas can be computed independently and simultaneously
- All solvers are can be run on multiple cores
- 2D solvers and parameters can be selected independently for each 2D area
- A partial grid solution keeps track of active portion of mesh and only computes the solution for active portion significantly reducing computational times.

Thank You!

HEC-RAS Website:

https://www.hec.usace.army.mil/software/hec-ras/

Online Documentation:

https://www.hec.usace.army.mil/confluence/rasdocs





Face Water Surface Gradient



• Face-Normal Gradient

$$\nabla z_{s} \cdot \boldsymbol{n}_{k} = \frac{\partial z_{s}}{\partial N} \approx \frac{z_{s,R} - z_{s,L}}{\Delta x_{N}}$$

- Uses Cell Centroids and NOT the Computation Points
- Future versions may include non-orthogonal
- Compact two-point stencil is computationally efficient and robust
- Important to have a good quality mesh to reduce errors







Momentum Conservation

- Momentum conservation is directionally invariant
- Only "face-normal" component is needed at faces so

$$\frac{\partial u_N}{\partial t} + (\boldsymbol{V} \cdot \nabla) u_N - f_c u_T = -g \frac{\partial z_s}{\partial N} + \frac{1}{h} \nabla \cdot (\boldsymbol{v}_t h \nabla u_N) - \frac{\tau_{b,N}}{\rho R} + \frac{\tau_{s,N}}{\rho h}$$

where u_N is the velocity in the *N* direction



Face-Tangential Velocity

• Tangential velocities are computed on left and right of face with a Least-squares Formulation

$$S_{R} = \sum_{k \in R}^{3} (V_{R} \cdot n_{k} - (u_{N})_{k})^{2} \qquad S_{L} = \sum_{k \in L}^{3} (V_{L} \cdot n_{k} - (u_{N})_{k})^{2}$$

 Of the left and right reconstructed velocities, only the tangential component is used, because the normal component is known

$$(\boldsymbol{u}_T)_R = \boldsymbol{V}_R \cdot \boldsymbol{t}_f \qquad (\boldsymbol{u}_T)_L = \boldsymbol{V}_L \cdot \boldsymbol{t}_f$$

• Average face-tangential velocity computed as

$$\left(u_{T}\right)_{f}=\frac{\left(u_{T}\right)_{R}+\left(u_{T}\right)_{L}}{2}$$







Discretization

- Cell Velocity Gradient (x-direction)
 - Gauss' Divergence Theorem

$$\nabla u_i = \frac{1}{A_i} \int_A \nabla u dA = \frac{1}{A_i} \int_L u dL = \frac{1}{A_i} \sum_{k \in i} u_k n_{ik} L_k$$

- Needed tor turbulence modeling
- Cell Velocity
 - Perot's Method

$$\boldsymbol{V}_i = \frac{1}{A_i} \sum_{k \in i} \Delta x_{ik} L_k \boldsymbol{n}_k (\boldsymbol{u}_N)_k$$

• Needed for the conservative form of the mixing term and for Eulerian advection







Discretization: Laplacian

• Node Laplacian

$$\left(\nabla^2 V \right)_j = \left[\nabla \cdot \left(\nabla V \right) \right]_j \approx \sum_i d_i \left(\nabla V \right)_i$$

$$i: \text{Cells}$$

$$\left(\nabla V \right)_i = \sum_k c_k V_k \qquad j: \text{Nodes}$$

$$k: \text{Faces}$$



• Used only by non-conservative turbulence



Backtracking

- 1. Interpolate node velocities from faces
- 2. Set starting location and remaining time as f and $T_R = \Delta t$
- 3. From starting location and velocity, find location B
- 4. Compute time to location *B*: $T_B = (\mathbf{x}_A \mathbf{x}_B) \mathbf{V}_A^{-1}$
- 5. Interpolate velocity at location *B*: $V_B = w_{n1}V_{n1} + w_{n2}V_{n2}$ if $T_B > T_R$
- 6. Set $\overline{A} = B$, $T_R = T_R T_B$, and go to step 3 else
- 7. Find location X as $\boldsymbol{x}_{X} = \boldsymbol{x}_{f} T_{R}\boldsymbol{V}_{A}$
- 8. Interpolate velocity vector at X

$$\boldsymbol{V}_{X} = T_{B}^{-1} \left[T_{R} \boldsymbol{V}_{B} + (T_{B} - T_{R}) \boldsymbol{V}_{A} \right]$$

9. Compute advective velocity

$$u_X = \boldsymbol{n}_f \cdot \boldsymbol{V}_X$$









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Fractional Step Method (ELM only)

• Coriolis Term approximated as

$$f_{c}\boldsymbol{k} \times \boldsymbol{V} \approx \begin{pmatrix} f\left[(1-\theta)f\boldsymbol{v}_{X}^{n}+\theta\boldsymbol{v}^{n+1}\right] \\ -f\left[(1-\theta)f\boldsymbol{u}_{X}^{n}+\theta\boldsymbol{u}^{n+1}\right] \end{pmatrix}$$

where

f: Coriolis Parameter θ : Implicit weighting factor **k**: Unit vector in the vertical direction $V = (u, v)^{T}$: Velocity at face $V_{X} = (u_{X}, v_{X})^{T}$: Velocity at face at location X

• First (Coriolis) Step

$$\begin{pmatrix} 1 & \theta \Delta tf \\ \theta \Delta tf & 1 \end{pmatrix} \begin{pmatrix} u^* \\ v^* \end{pmatrix} = \begin{pmatrix} u^n_X + (1 - \theta) \Delta tf v^n_X \\ v^n_X + (1 - \theta) \Delta tf u^n_X \end{pmatrix} \qquad V^* = \begin{pmatrix} u^* \\ v^* \end{pmatrix}$$

• Second Step includes all other terms





Eulerian-Lagrangian Momentum Equation

• Semi-discrete form (2nd Fractional Step)

$$\frac{u_N^{n+1} - u_N^*}{\Delta t} = -g \frac{\partial z_s^{n+\theta}}{\partial N} + \left[\frac{1}{h} \nabla \cdot \left(v_t h^n \nabla u_N\right)\right]_X^n - c_f u_N^{n+1} + \frac{\tau_{s,N}}{\rho h_f^n}$$

where

$$z_a^{n+\theta} = (1-\theta)z_s^n + \theta z_s^{n+1}$$
$$u_N^* = V^* \cdot \boldsymbol{n}_f$$

- Velocity V^* includes Coriolis
- Mixing term is interpolated at backtracking location \boldsymbol{X} and based on previous time step velocity field
- Friction term is semi-implicit



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Eulerian Momentum Equation

• Semi-discrete form

$$\frac{u_{N}^{n+1} - u_{N}^{n}}{\Delta t} + (V^{n} \cdot \nabla) u_{N}^{n} - f u_{T}^{n} = -g \frac{\partial z_{s}^{n+\theta}}{\partial N} + \left[\frac{1}{h} \nabla \cdot (v_{t} h \nabla u_{N})\right]_{f}^{n} - c_{f} u_{N}^{n+1} + \frac{\tau_{s,N}}{\rho h_{f}^{n}}$$

where
$$z_{s}^{n+\theta} = (1 - \theta) z_{s}^{n} + \theta z_{s}^{n+1} \qquad \overline{h}_{f} = \alpha_{f}^{L} h_{L} + \alpha_{f}^{R} h_{R}$$

- Coriolis term computed at face f and is explicit
- No fractional step method like ELM solver
- Mixing term is computed at face f and is explicit
- Friction and pressure gradient terms are semi-implicit



Discretization: Eulerian Advection

- Courant-Freidrichs-Lewy (CFL) Condition

$$C = \frac{U\Delta t}{\Delta x} \le 1$$



Discretization: Mixing Term

Non-Conservative Form

$$\boldsymbol{v}_t \nabla^2 \boldsymbol{u}_N \Big|_f \approx \boldsymbol{v}_{t,f}^n \left(\nabla^2 \boldsymbol{V} \right)_X^n \cdot \boldsymbol{n}_f$$

Conservative Form

$$\frac{1}{h}\nabla\cdot\left(\boldsymbol{v}_{t}h\nabla\boldsymbol{u}_{N}\right)\Big|_{f}\approx\frac{\alpha_{f}^{L}}{\overline{h}_{f}A_{L}}\sum_{k\in L}A_{k}\boldsymbol{v}_{t,k}\frac{\boldsymbol{n}_{f}\cdot\left(\boldsymbol{V}_{j}-\boldsymbol{V}_{L}\right)}{\Delta\boldsymbol{x}_{L,j}}+\frac{\alpha_{f}^{R}}{\overline{h}_{f}A_{R}}\sum_{k\in R}A_{k}\boldsymbol{v}_{t,k}\frac{\boldsymbol{n}_{f}\cdot\left(\boldsymbol{V}_{j}-\boldsymbol{V}_{R}\right)}{\Delta\boldsymbol{x}_{R,j}}$$

- Discretization same for both ELM and EM solvers
- Approximate Stability Criteria for EM solver V_{Δ}

$$\frac{\nu_t \Delta t}{\Delta x^2} \le \frac{1}{2}$$

• ELM interpolates term to location X

$$\left[\frac{1}{h}\nabla\cdot\left(\boldsymbol{v}_{t}h\nabla\boldsymbol{u}_{N}\right)\right]_{X}^{n}$$
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Subgrid Modeling



- Problem
 - Water levels usually vary much more smoothly than the terrain
 - Unfeasible to resolve every detail of the terrain with the computational mesh
- Approach
 - Utilize a grid resolution sufficient to resolve the hydraulics
 - Capture the details of the subgrid terrain through hydraulic properties tables





Subgrid Bathymetry: Cells



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Subgrid Bathymetry: Faces

- Faces treated similar to cells
- Hydraulic property tables computed
 - Wetted length
 - Wetted Perimeter
 - Area





Benefits of Subgrid Bathymetry



