Lecture 1.5

Analyzing Hydrologic Time-Series a road map

Flood Frequency Analysis
Hydrologic Engineering Center
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This lecture is a bit of a review and connect the dots of some material already covered, a preview of a topic that's coming up, and then some new topics that fit within the theme.

Goals

Review (or preview) some ways we might study a time-series, and what we can learn from it

- 1. What information we extract
- 2. What assumptions we make
- 3. What values or probabilities we can estimate
- 4. What type of analysis each requires

2

Topics

↓ independence

Assumption

- Annual Extremes
 - annual maximums or minimums, Bulletin 17B/C
 - Instantaneous flows or longer duration avg flow/volume
- Partial Duration (peaks over threshold)

independence

- Daily flows or stages
 - Duration Curves
 - Summary Hydrographs

No independence - persistence

3

These are the topics I'll cover. We divide the time period of the data, and whether we use all the data or isolate the extremes. These choices affect the assumptions we can make about the data set. Isolating annual extremes provides enough time between values that we can assume independence. Using all the data at a daily step, the values are persistent, and can't assume independence.

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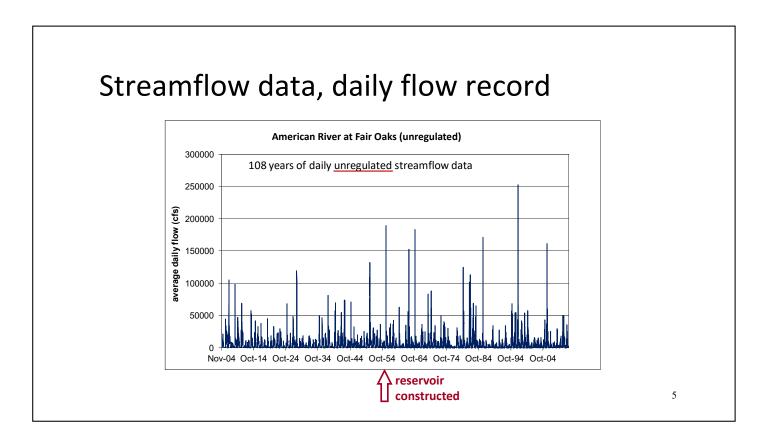
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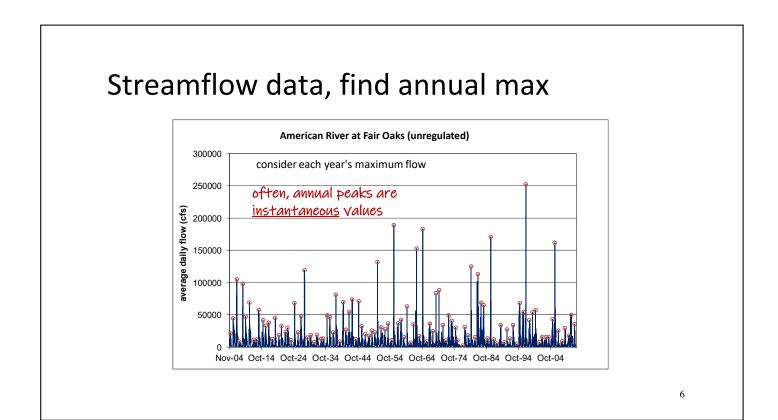
Summary Hydrographs

4

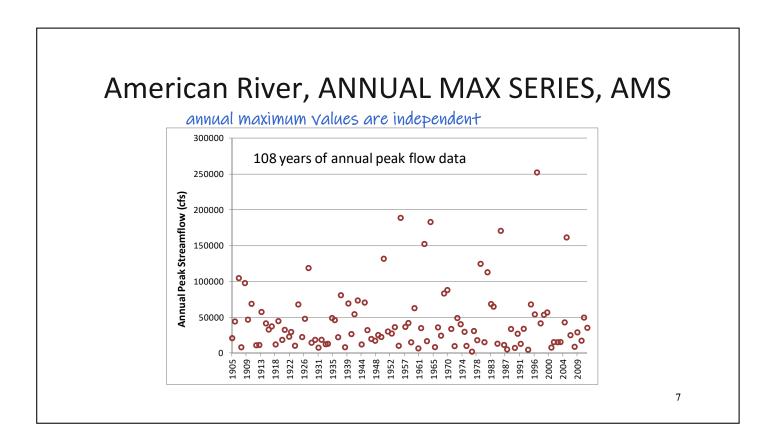
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Basically, this lecture is about the various ways we use streamflow time-series data to perform different probabilistic analyses. This is a 108 year daily time-series for the American River in California, and I'll use it to demonstrate many of the topics.



This is how we generate the annual maximum series – choosing the largest value of each year, based on some definition of "year," such as calendar (starting Jan 1) or water (starting Oct 1).



The data set is now 108 values of annual maximum/peak flows.

Assumptions

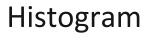
- What we have: series of annual maximum flows
- Treat these flows as a random, <u>representative sample</u> from the flood population of interest
- Generally, we <u>assume</u> the sample is <u>IID</u>
 - annual peak flows are random and independent
 - peak flows are identically distributed homogeneous data set
 - sample is adequately representative of the population

(estimate of the distribution improves with sample size)

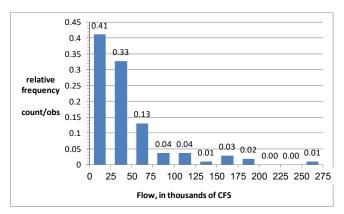
NOTE, we're assuming the data is homogeneous, stationary....

8

IID = independent and identically distributed. Not so much that they ARE identically distributed as that we assume it is reasonable to fit a single distribution to them. Except when we can't assume that....

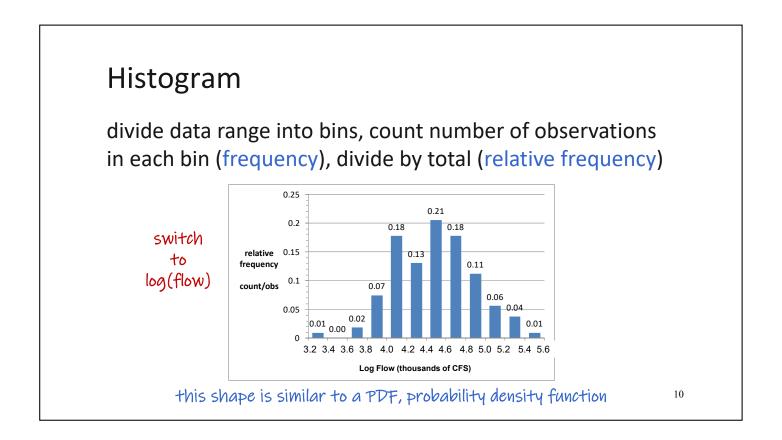


divide data range into bins, count number of observations in each bin (frequency), divide by total (relative frequency)



this shape is similar to a PDF, probability density function

A histogram gives a good view of probability of the sample.



Flow often is easier to work with after transforming to base 10 logarithm.

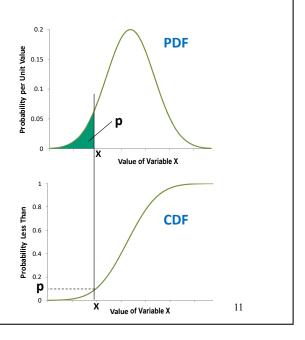
Definition of PDF and CDF

A Probability Density Function (PDF), f(x), defines the probability of occurrence for a continuous random variable.

area under curve = probability

The Cumulative Distribution Function (CDF), F(x) is the probability the random variable is less than some value

curve = probability



Empirical Cumulative Distribution

> based on observation

Empirical or Graphical estimate: based on order statistics, using plotting position (estimated non-exceedance probability)

- Cumulative non-exceedance probability of observation magnitude is based on its <u>position within the sample</u>
- Each observation has incremental prob = 1/N

i.e., relative frequency

$$P[X \le x_i] = \frac{m_i}{N}$$

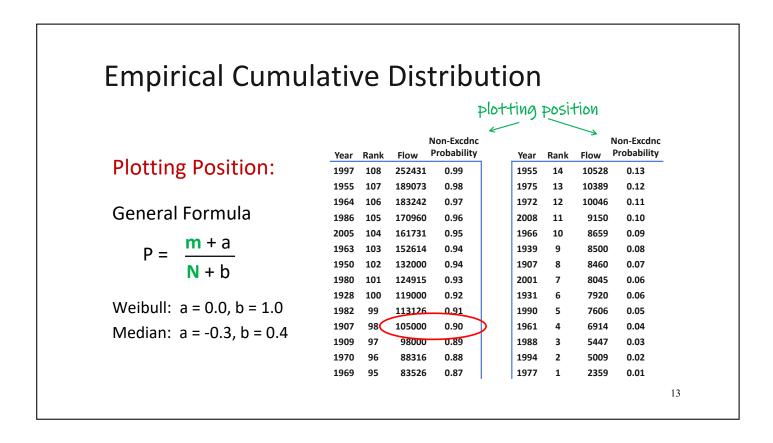
m_i = rank of ordered observation i
 (m=1 as smallest value, N as largest)
N = total # of observations

estimate probability that will be smaller than observation x_i by the fraction of the observed sample that is smaller

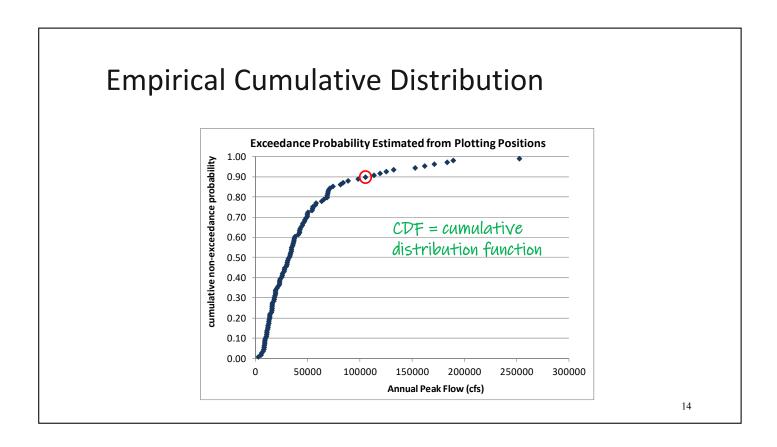
12

Rank is where that sample member is in the line-up of all sample members ordered by magnitude. So, it's a way of counting the number of events less than or equal to.

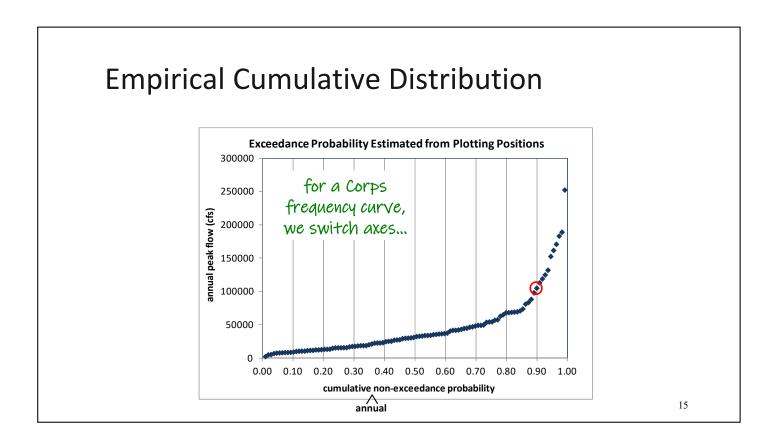
Plotting position is the estimate of the cumulative prob of each observation, based on the sample size and the position of each observation within the sample that's been sorted by magnitude. So, effectively we're computing the relative frequency of being less than a given observation, based on how many values in the sample were less than that observation – defined by the rank.



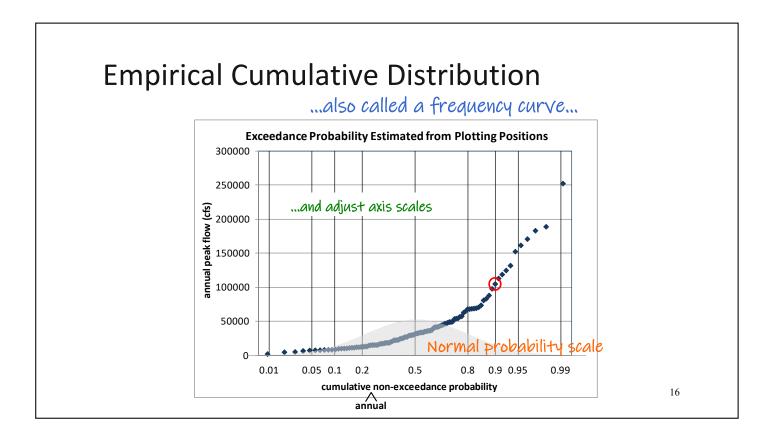
These are the largest 14 and smallest 14 values in the 108-year data set.



First, plot in the typical CDF form, with flow on horizontal and cumulative probability on vertical. But, now can plot every observation, rather than every bin.



Switch axes to probability on horizontal and probability on vertical. Note, annual probability because one value each year, and computing relative frequency based on years.



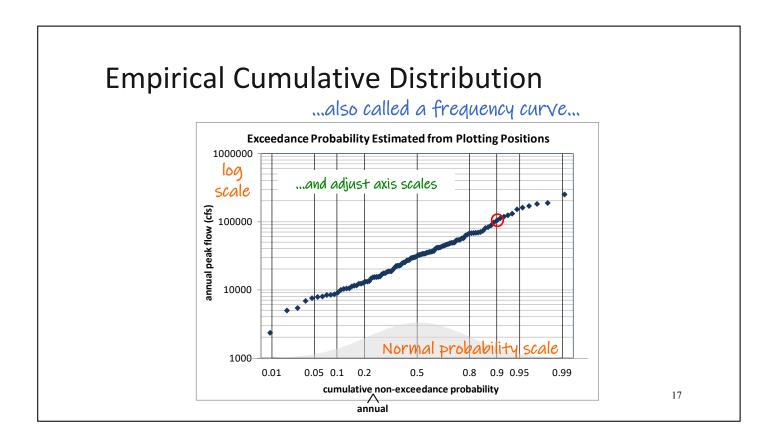
Switch probability axis from linear to Normal. Note, normal data would plot as a straight line on normal axis.

How to we create a normal axis?

(1) the axis is linear in Zp, the standard normal deviate of probability p.

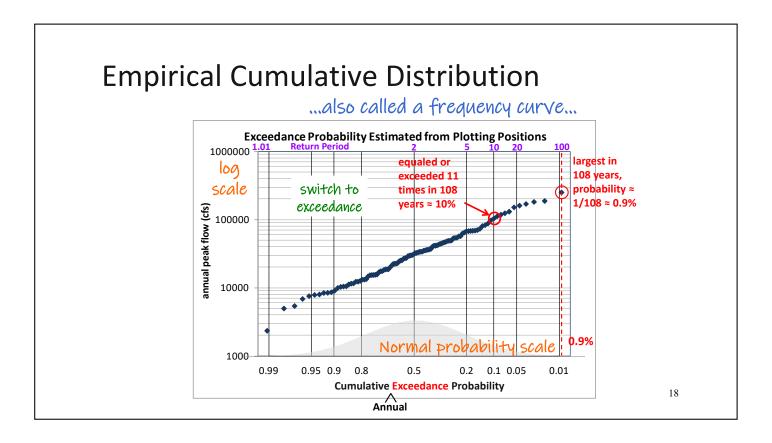
The axis is based on the normal PDF that you can see as a shadow along the horizontal, so

(2) we plot a given probability where the area under the PDF (from left to right) equals that probability.



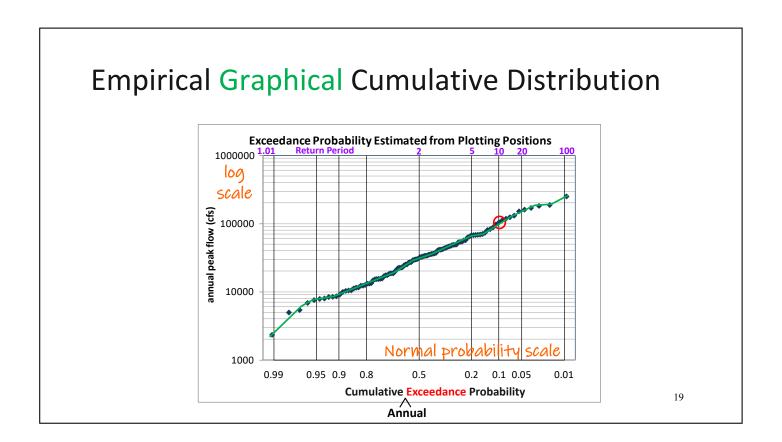
Switch vertical axis to log(flow).

Note, the data becomes close to a straight line, so log(flow) is close to Normal, and flow is close to logNormal in this case.

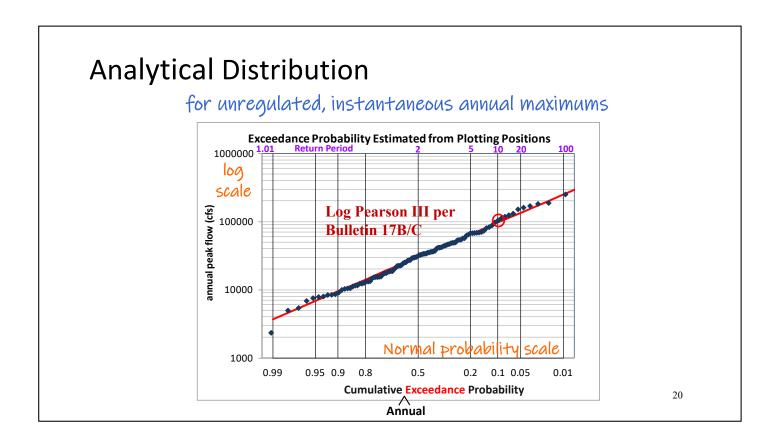


Switch from non-exceedance to exceedance probability. Note that return period = 1 / (exceedance prob).

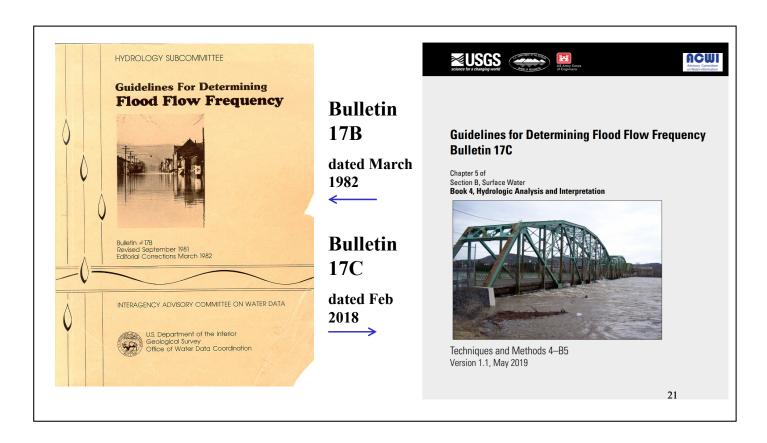
Recall the interpretation of the plotting positions. The largest even is explicitly interpreted as equaled or exceeded once in 108 years, etc



With no further assumptions, we have an empirical or graphical curve only.



Or, we can assume an analytical distribution, and estimate its parameters from the 108 member sample of values. This is the log Pearson type 3 distribution recommended in Bulletin 17B/C for unregulated annual maximum values



Reference

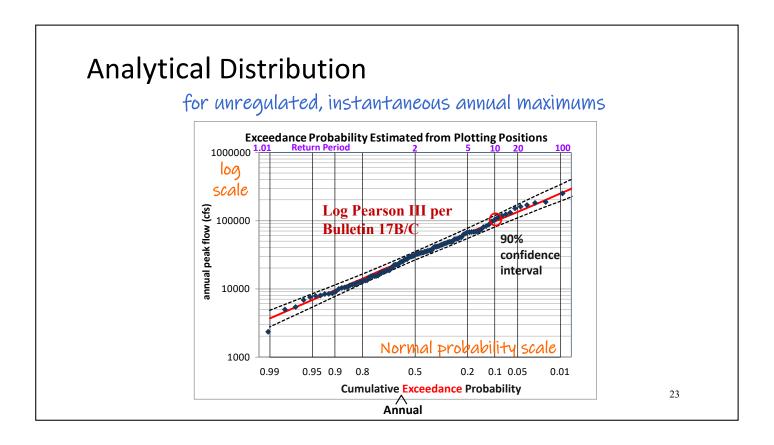
B17B/C: Frequency Analysis of Annual Peak Flows

- Estimate LogPearson III distribution for unregulated annual peak flows
 - Method of Moments
- → moments of sample used to estimate moments of distribution
- Data challenges:
 - Missing Flows (Broken or Incomplete Record)
 - Low and High Outliers
 - Zero Flows
- Additional information to improve estimates:
 - Historical/Paleo Information
 - Regional Skews (use a weighted skew)
 - Allowing a longer record site to improve short record estimate

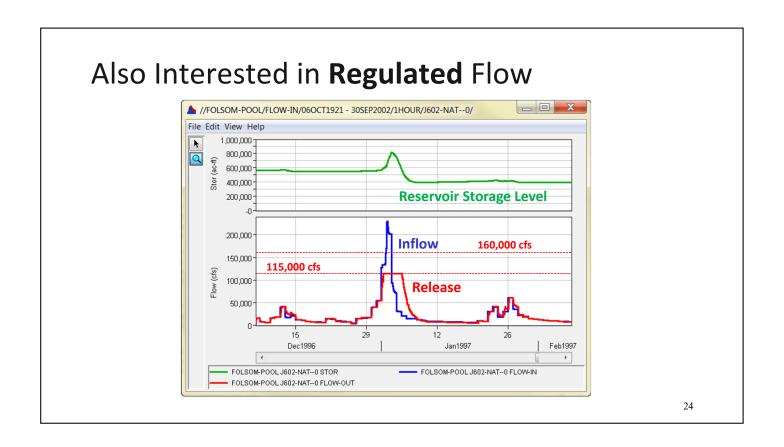
many of the methods for handling data challenges and using additional information are improved in Bulletin 17C

22

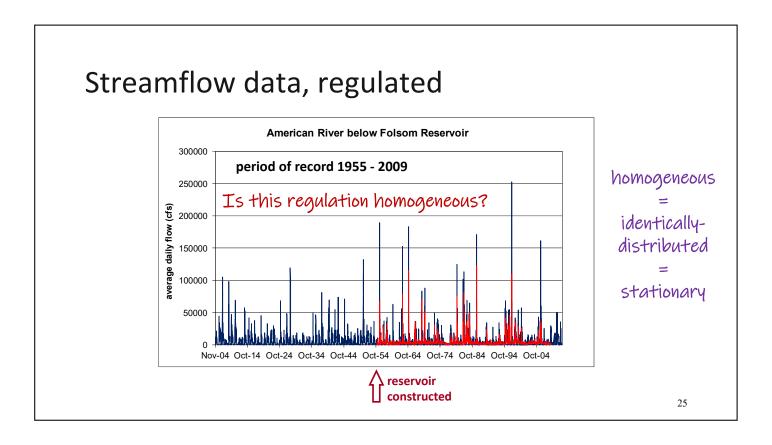
Fitting an LP3 to annual maximums is the primary guidance. But the details are in dealing with difficulties in the data set, and in bringing in additional data that can provide more information.



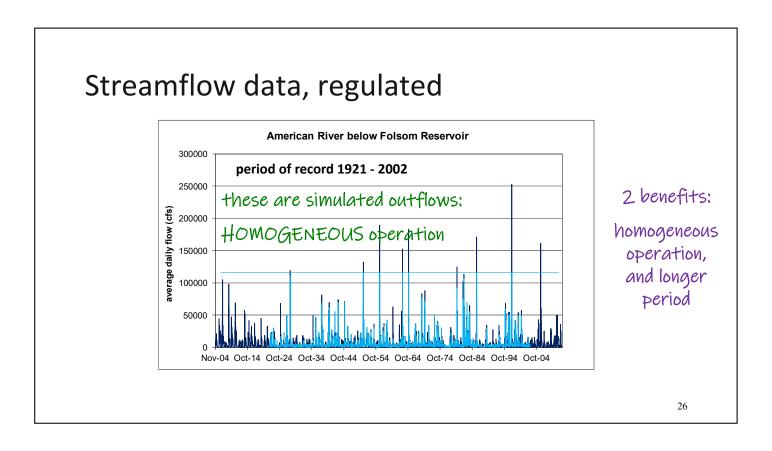
The fit of the LogPearson type 3 distribution (LP3) also includes estimating the 90% confidence interval. The interval can be interpreted simply as meaning there is a 90% change the correct population frequency curve is within this range.



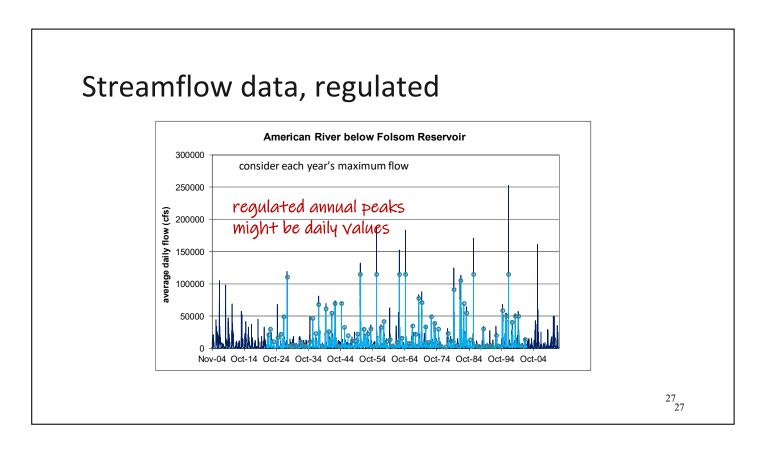
This figure shows the regulation of a flood event through a reservoir, where the reservoir stores all of the water volume in excess of the same channel flow or "objective release" and then releases that volume more slowly after the event.



The red line is the reservoir outflows, beginning when the reservoir was constructed. These actual releases may have been following different operation strategies over the last 60 years. We are less interested in what was done in the past as we are in using the past to study what could happen in the future. So, we need to see consistent operations.

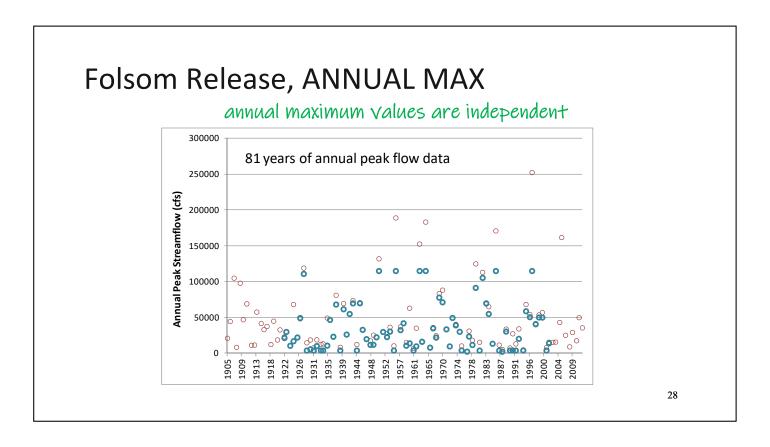


Here, we see the unregulated record simulated with the current operation strategy. So, the operation is homogeneous. Hopefully, the inflow record is, too!

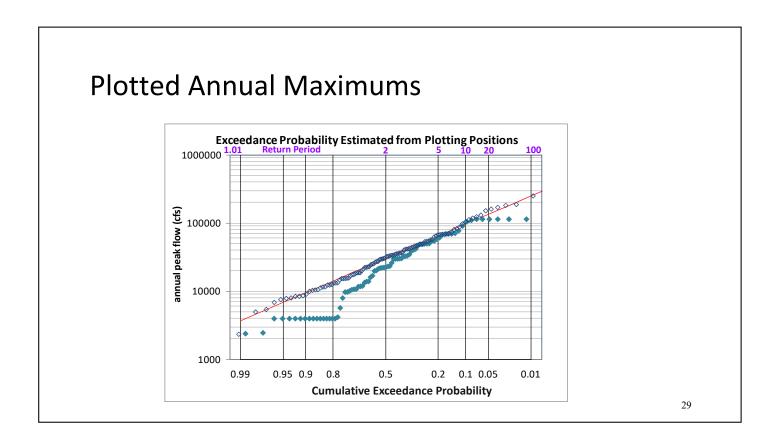


Create the annual maximum series (AMS) in the same way we do for unregulated flows.

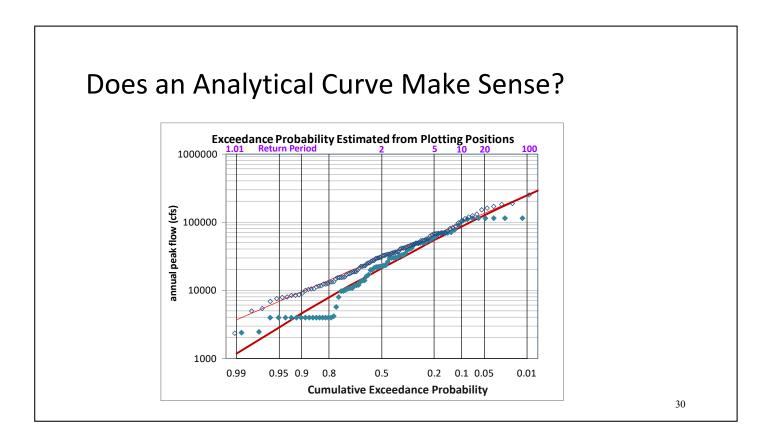
Note, the simulated period is not the complete unregulated record. So, the POR is a bit shorter.



Now we have a record of regulated peaks.

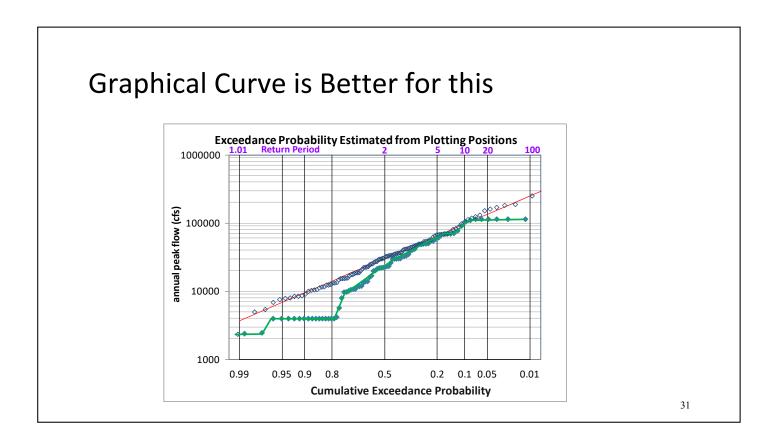


Hollow diamonds are the unregulated record. Solid diamonds are the regulated record, plotted in the same way. Note that there are relevant flow thresholds, such as channel capacity at the upper end, that are horizontal over a range of exceedance probability. These thresholds are important!

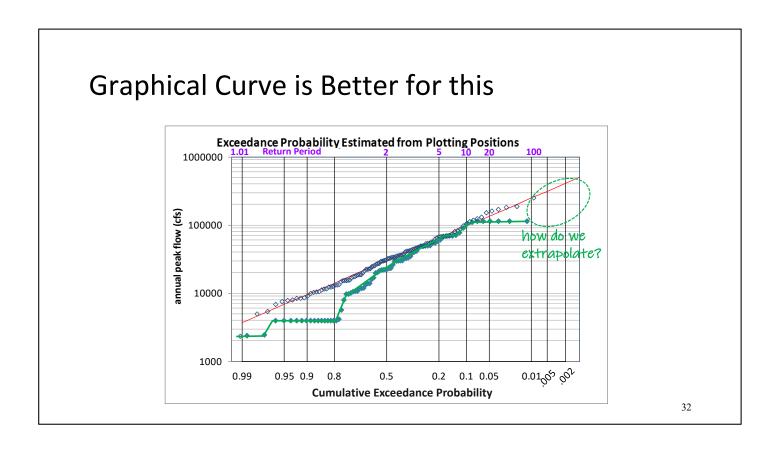


An analytical fit to this data would smooth through the important flat areas that represent flow thresholds. This is not what we want.

Sometimes we do want to smooth through sampling error. But, in this case, the flats spots are meaningful.



It is better to do a graphical fit to this data, so we can capture the thresholds that are relevant, and smooth through the ones that are not.



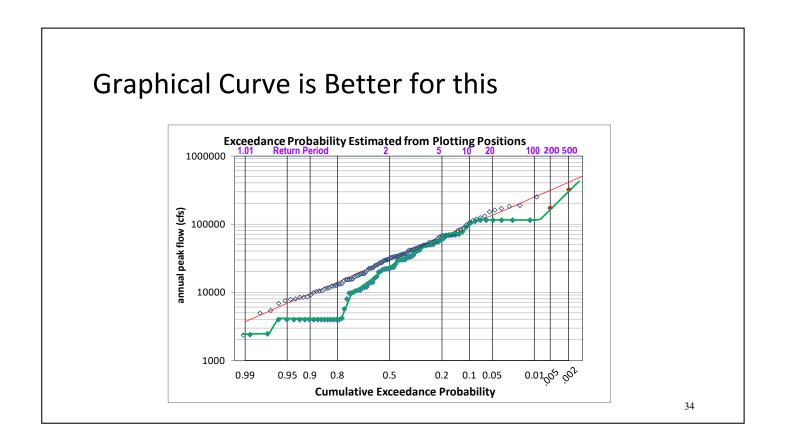
A problem with graphical frequency curves is that there is no basis for extrapolation beyond the range of the data (other than the clear upper bounds offered by the regulated frequency curve.) How should we extrapolate?

Extending a Regulated Curve

- To extend a regulated frequency curve, we can <u>construct synthetic events</u> with a specified frequency, e.g. 100-yr, 200-yr, etc
 - Estimate frequency curves for longer duration average flows or volumes (3-day, 7-day, 30-day, etc)
 - Construct hydrograph for a given frequency
 - Route through operation model to get peak outflow
 - NOTE: will assume likelihood of peak release is related to likelihood of peak inflow

33

We extrapolate a graphical curve by estimating higher values (lower probability) regulated flows based on unregulated flood events of the desired probability.



Using synthetic events, we can estimate points higher on the frequency curve, to fill in the gap.

Topics

V

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35

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Longer Durations of Flow/Volume

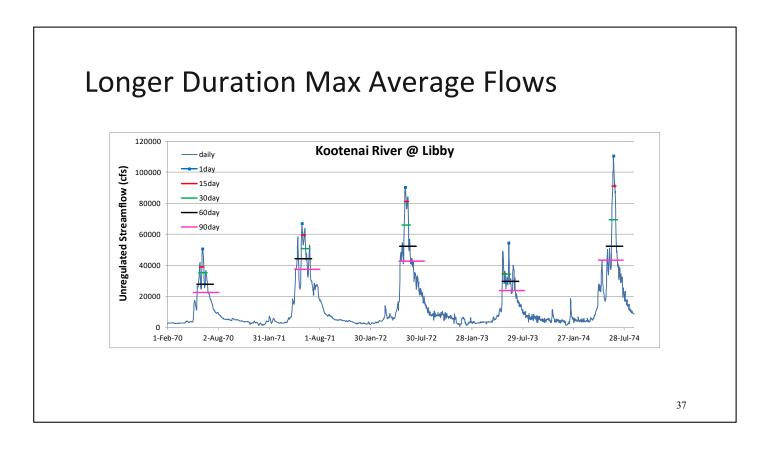
Volume Frequency Analysis

Create a family of frequency curves for a given site that specify <u>average flow</u> across various durations

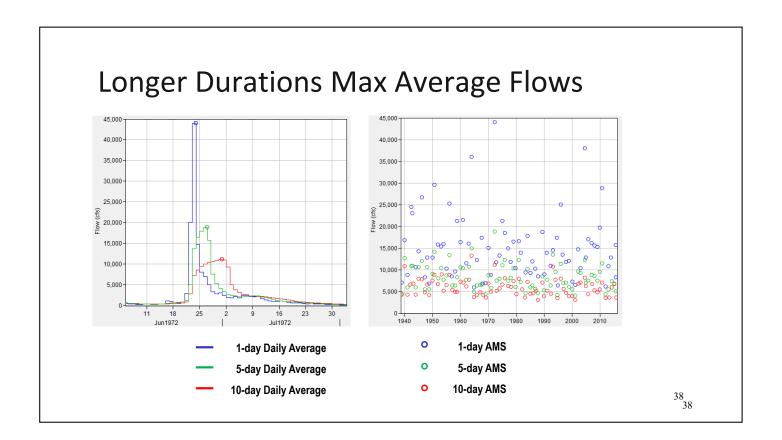
- 1. Compute X-day moving average TS for various durations, X
 - X might be 1-day, 5-day, 30-day etc.
- 2. Extract annual maximums for each duration
- 3. Perform frequency analysis for each duration
 - Similar to Bulletin 17B/C procedure, but not instantaneous peak
 - Compute plotting positions and distribution parameters from each period of record sample

36

Why are we looking at longer durations? When reservoir are involved for providing flood storage, we're more interested in the volume of water that will arrive across some period of time than just the highest flow. So we want to estimate the likelihood of flow volumes.



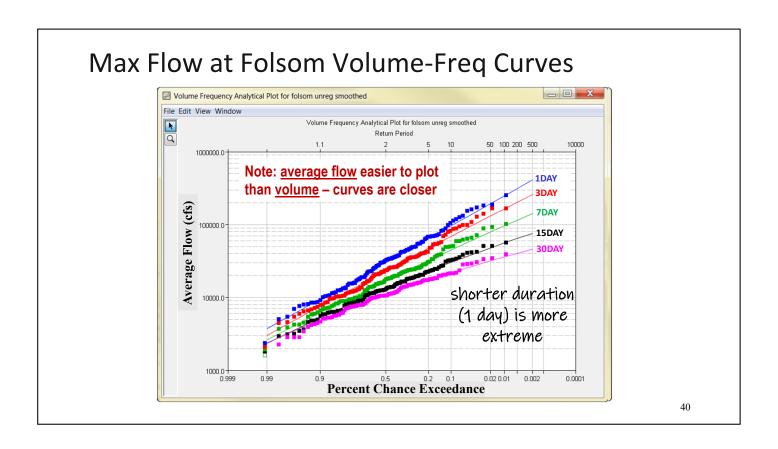
This is a location where the highest flows are snow-melt floods that last longer than rain floods. It lets us see the annual maximum average flows more easily.



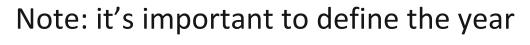
These are rain floods, that are shorter – only a few days. On the left is the time-series of moving X-day averages for 1 event, with the maximum values noted. On the right is the record of annual maximums generated this way across the record.

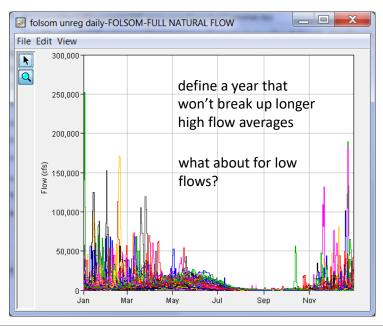
nnu <u>al N</u>												
		Volume-Duration Data										
	Highest Mean Value for Duration, Average Daily FULL NATURAL FLOW in CFS											
Year		1		3		7		15	30			
	Date	FULL NAT	Date	FULL NAT	Date	FULL NAT	Date	FULL NAT	Date	FULL NAT		
1945			02/04/1945				02/15/1945			11098		
1946			12/30/1945			22086			01/19/1946	11576		
1947			02/14/1947			7654		5867		5297		
	04/18/1948		04/19/1948				04/20/4040	44330	06/04/1948	10561		
1949			03/05/1949			- high	pet 3-d	ay avera		10483		
1950	02/06/1950	22800	01/24/1950	20067	01/24/1950	- Iligii	1631 J-u	ay averd	1950	10053		
1951	11/21/1950	132000	11/21/1950	107500	11/24/1950	61757	12/02/1950	31688	12/17/1950	30557		
1952	02/02/1952	30500	02/04/1952	20800	05/29/1952	19800	06/01/1952	19360	06/09/1952	18423		
1953	04/28/1953	27600	04/29/1953	20867	04/30/1953	15571	05/07/1953	12411	05/21/1953	10350		
1954	03/10/1954	36500	03/11/1954	26190	03/15/1954	16126	03/23/1954	10651	05/04/1954	9301		
1955	05/09/1955	10528	05/23/1955		05/13/1955	9850	05/23/1955	8585	06/03/1955	7786		
	12/23/1955				12/27/1955		01/02/1956		01/17/1956	28224		
	05/19/1957		05/20/1957		05/24/1957		03/10/1957		03/23/1957	9654		
1958			04/03/1958			25279			06/01/1958	17154		
1959			02/19/1959		02/22/1959	8854			05/01/1959	4631		
1960			02/10/1960			18198			04/05/1960	8010		
1961		6914	04/05/1961			5417		4926		4425		
1962						19671			05/10/1962	9814		
1963						49107		26738		15499		
1964			01/22/1964			8886			05/27/1964	6583		
1965 1966			12/24/1964 04/03/1966			87834 8169		50629 7964	01/18/1965	33147 7110		
1960		36197	03/18/1967			22572		19699		15752		
1968			02/22/1968			17942		12405		8709		
1969			01/22/1969		01/26/1969					21018		
1970					01/23/1970	49561		38627		23501		
1971			03/28/1971			16884		12270		9916		
	03/04/1972		03/06/1972		03/10/1972				03/25/1972	7823		
1973			01/14/1973		01/18/1973			16754		11074		
1974			01/19/1974						01/25/1974	14662		
	03/25/1975		03/27/1975		05/20/1975		05/28/1975		06/09/1975	13863		

SSP offers a summary of the annual maximum flows of every duration specified.



This figure has both the sorted and plotted annual maximums, and fitted LP3 curves for each. Note, these are X-day average flows, because X-day volumes would be much higher for longer durations and not plot nicely. Showing average flows let's us show them all on one plot this way.





It is important to choose a start date that captures all flood events from a certain hydrologic regime. If high flows generally occur between November and May, then the year should not start between these months. This will minimize the possibility that the same flood event is used for consecutive years.

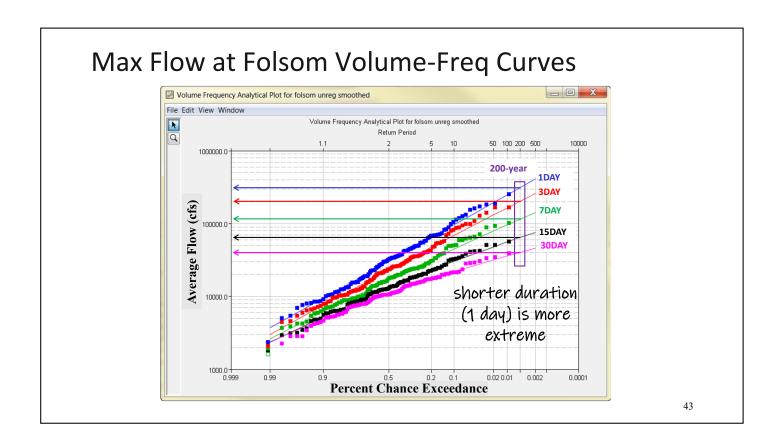
41

Synthetic Events

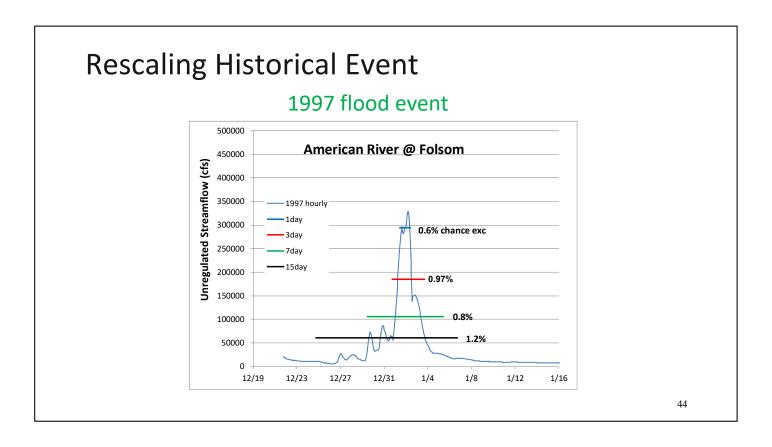
Create synthetic, low-frequency event hydrographs to model extremes, or other watershed conditions (e.g. regulated flow)

- 1. perform volume frequency analysis
 - produce the family of X-day average flow frequency curves
- 2. choose an historical event as a hydrograph "shape"
- 3. scale the hydrograph to match flow of chosen exceedance probabilities (e.g., the 1% chance event)
 - read the average flow for each duration for the chosen exceedance probabilities
 - scale the hydrograph up or down to match that avg flow

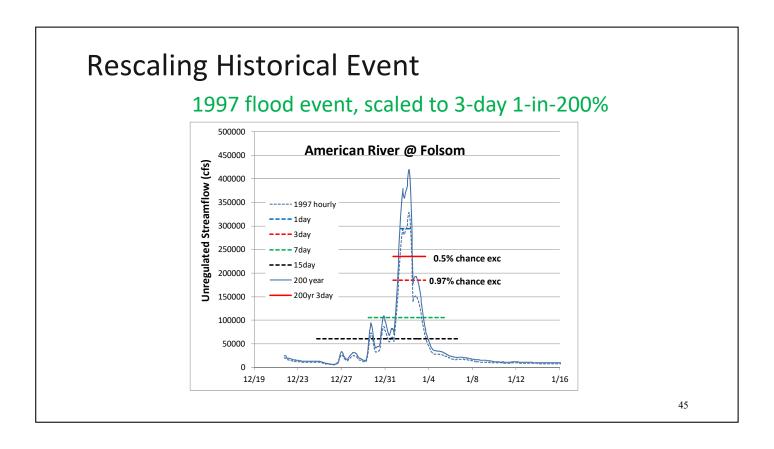
42



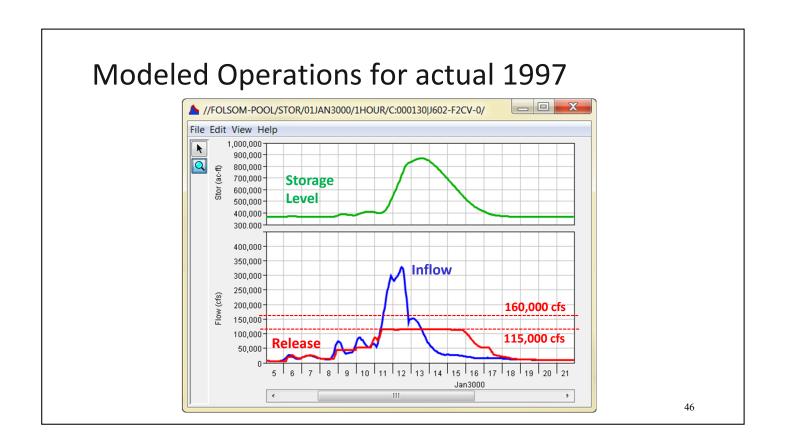
The average flow exceeded with a 0.005 (or, 0.5%) exceedance probability can be read for each curve. This value will allow us to create a synthetic event with that the desired estimated probability.



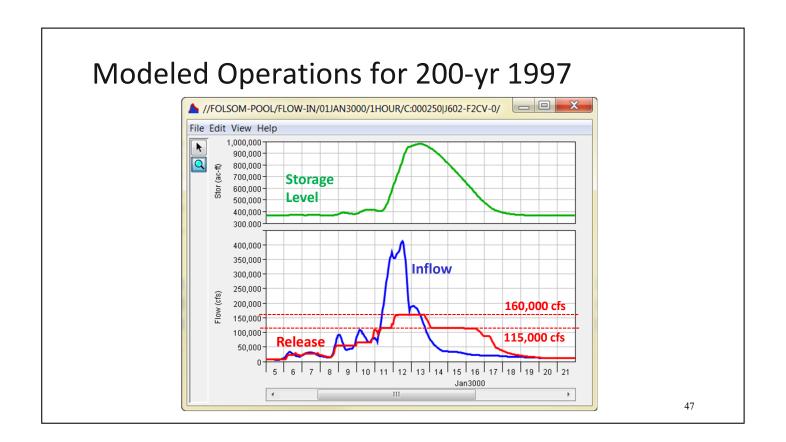
This is an historical event on the American River, from 1997. The exceedance probabilities of the maximum X-day average flows are noted.



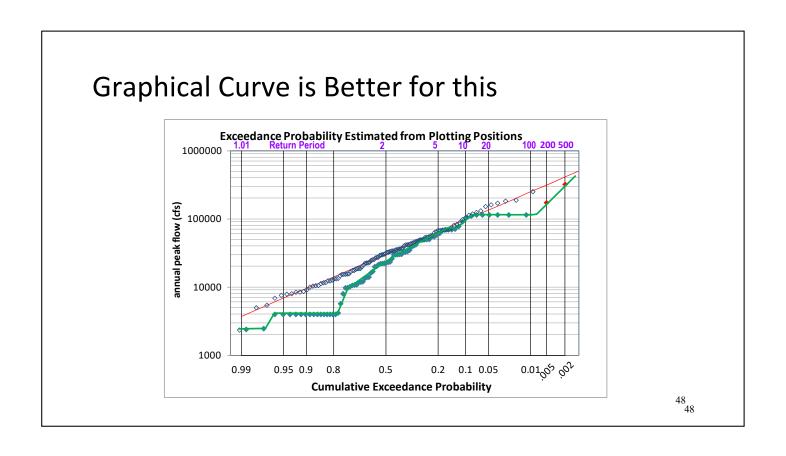
This is the 1997 flood event scaled to the 0.5% (1 in 200 year) 3-day max average flow.

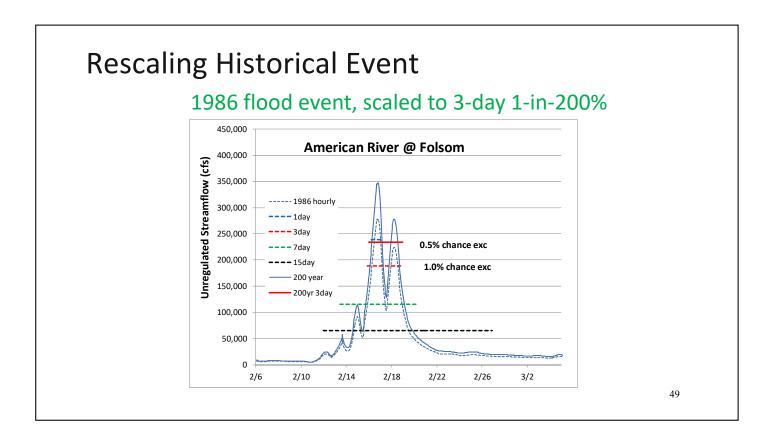


Simulating the 1997 event through the reservoir shows it not exceeding the lower objective release.

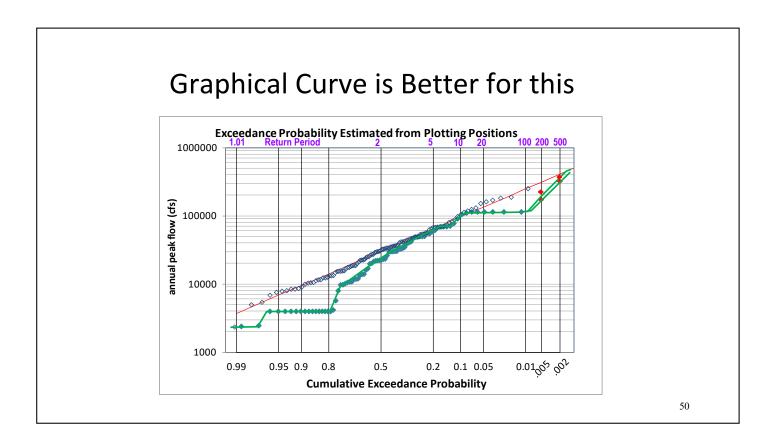


Simulating the scaled up 0.5% version of the 1997 event through the reservoir shows it reaching the higher objective flow thresholds.





We might also scale up additional historical flood events, if their shapes are different enough to demonstrate a relevant operational challenge.



However, multiple estimates for the flow of a given exceedance probability must be resolved.

Perhaps we will assign a likelihood for each shape being the one experienced at a given magnitude. But, note that combining probabilities must be done horizontally, not vertically!

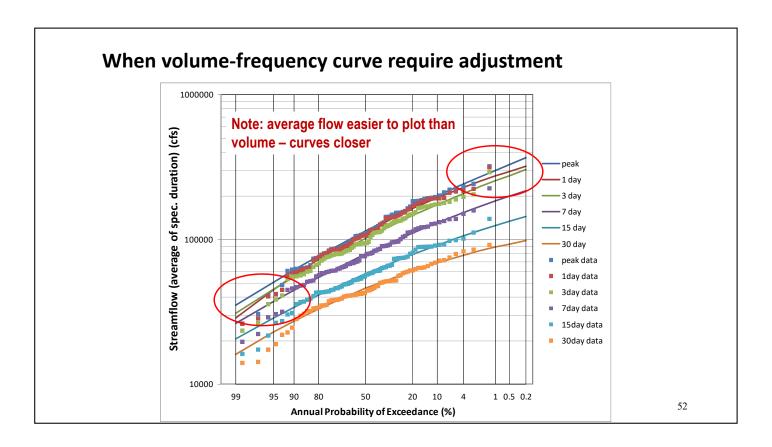
Volume Frequency Analysis

Sometimes there's an additional step needed in the volume frequency analysis...

- 3. Check curves for consistency
 - Curves should not cross
 - Smooth by plotting log moments vs duration, or versus each other

51

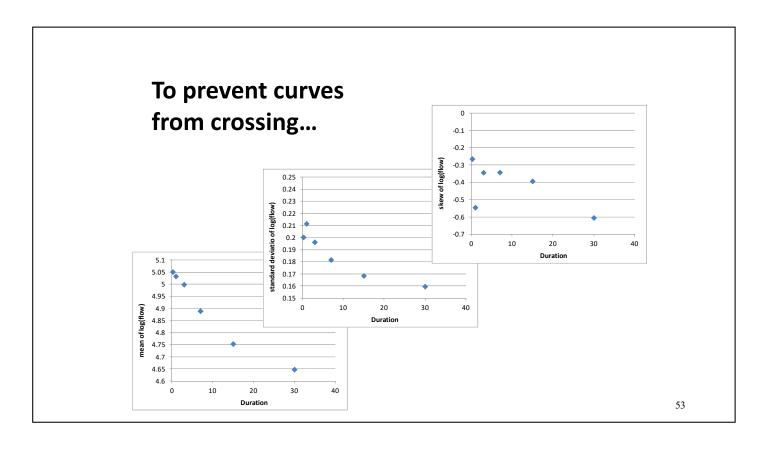
The curve have to make sense.



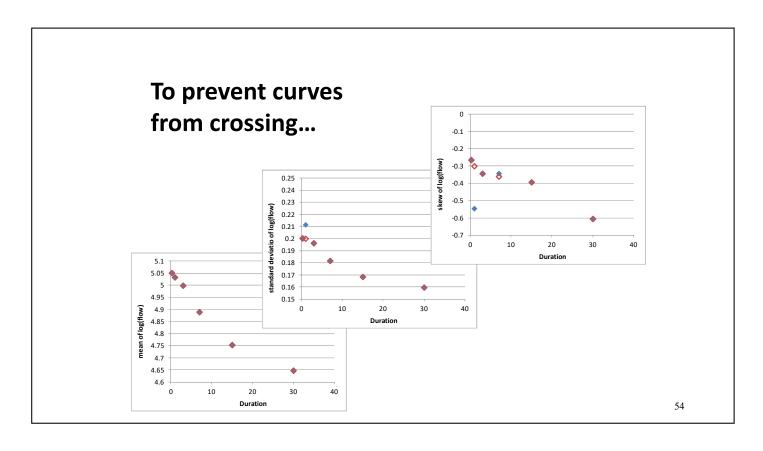
The sample for each duration is ranked and plotted separately, with an LP3 curve fitted to each. For high flow data, LP3 fit is generally successful.

The probability axis shows exceedance probability, and so extreme values plot to the right. Shorter durations are more extreme (in this case, higher) than longer durations.

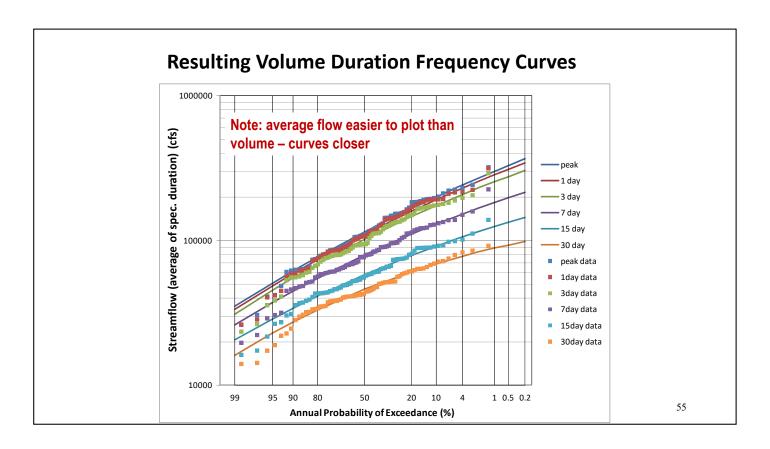
When LP3 parameters are estimated for each separate data set (each duration column), the curves might not form a regular set, might cross. The parameters sometimes need to be adjusted...



Here standard deviation is plotted against mean, and smoothed.



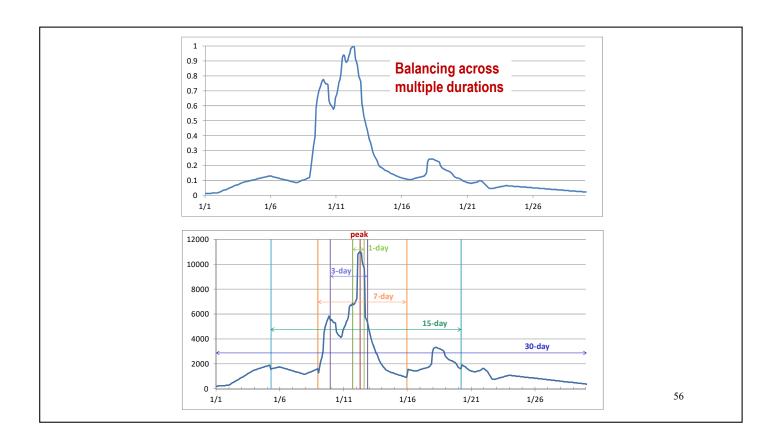
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Using the 1% chance exceedance values of each duration, create a "balanced" hydrograph that has those flow volumes. Could be scaled from an historical event. Note, this historical event might not be the best model, as the 1% values show a greater difference between peak and 1-day average max flow.

Low Flow Frequency Analysis

- Based on annual minimum flows
- Estimate the probability that X-day average flow will not exceed a given value

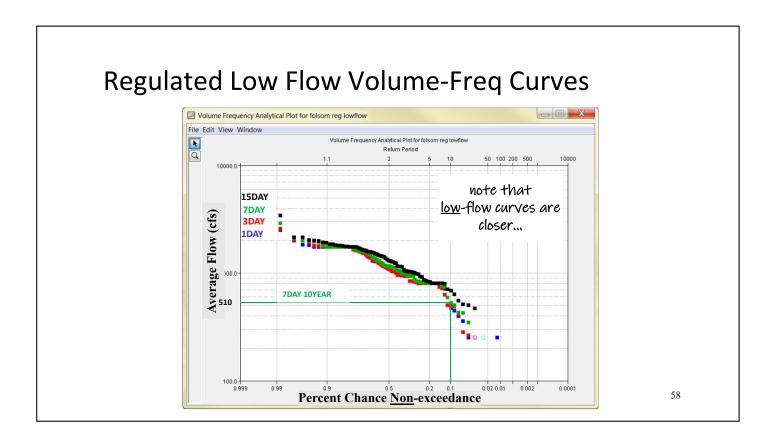
example: 7-day 10-year low-flow

- ⇒ There is a 10% chance that the 7-day consecutive low-flow will be less than this value in any year
- As the duration increases to months, independence between events becomes less likely (7-day is common)

57

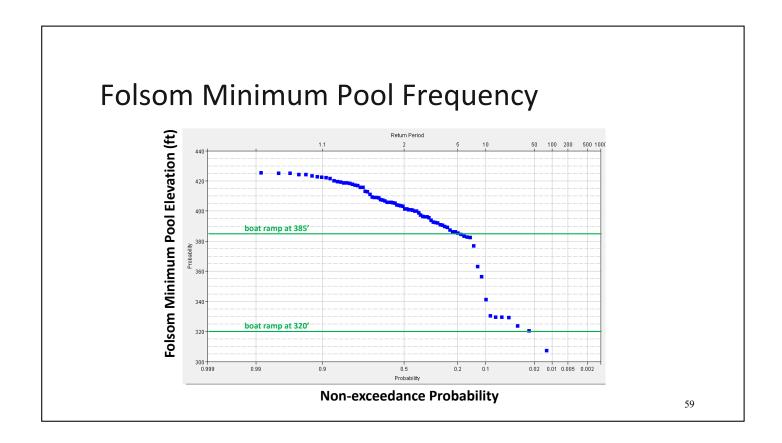
For low flows, we work with NON-exceedance probabilities.

A metric that's sometimes used in watershed restoration analysis and low flow regulation is



We're interested in extremes. So, for annual minimums, we plot the NON-exceedance probability.

We usually keep the extremes on the right. So, zero non-exceedance probability is still on the right. The curves for different X-day average flows are closer, because low flows are not a peaky as high.



Also look at annual minimums (and maximums) for other variables. In this case, minimum reservoir pool.

Topics

independence

Assumption

- Annual Extremes
 - annual maximums or minimums, Bulletin 17B/C
 - Instantaneous flows or longer duration avg flow/volume
- Partial Duration (peaks over threshold)

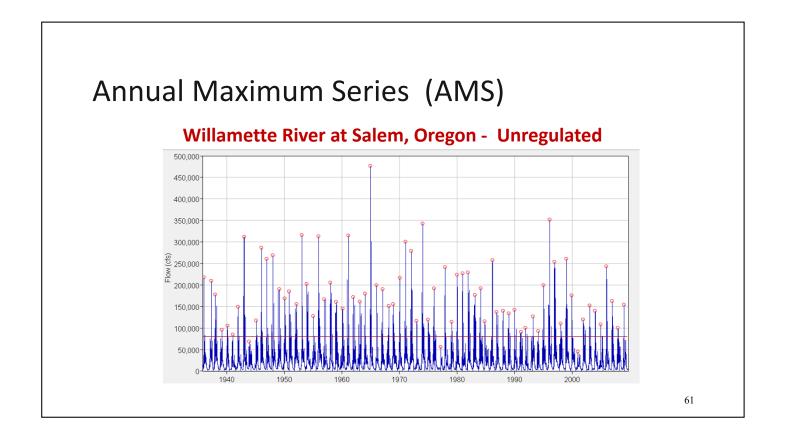
independence

- Daily flows or stages
 - Duration Curves
 - Summary Hydrographs

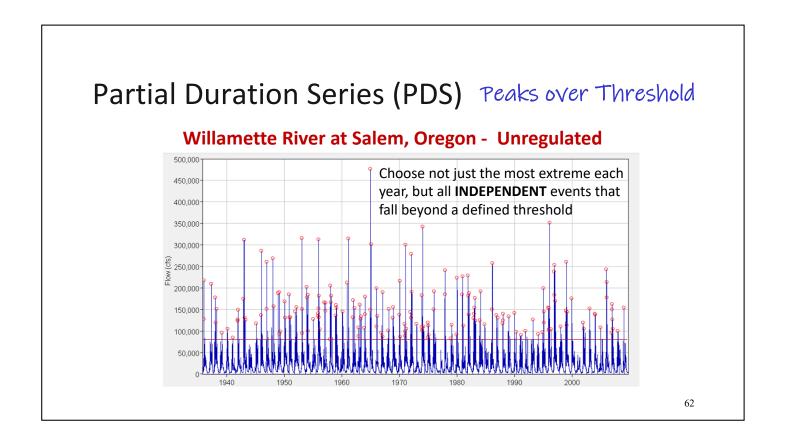
No independence - persistence

60

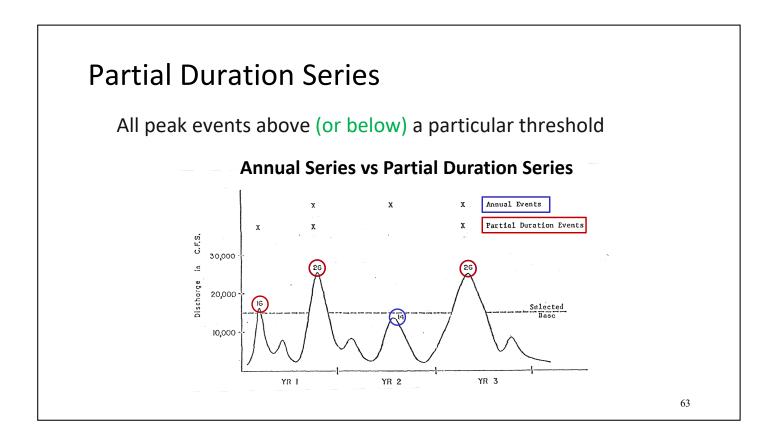
These are the topics I'll cover. We divide the time period of the data, and whether we use all the data or isolate the extremes. These choices affect the assumptions we can make about the data set. Isolating annual extremes provides enough time between values that we can assume independence. Using all the data at a daily step, the values are persistent, and can't assume independence.



Annual maximum series is the largest value in each year.



A partial duration series is all values above some defined threshold (also called peaks over threshold), no matter what year they occur in.



Note, one annual event per years. But some years have no partial duration events, and some have several.

Empirical Partial Duration Series (PDS)

Calculating plotting positions:

If there are a total of k events in N years, then the plotting position for the smallest event (rank = k) is based on k/N

- If k > N, might be greater than 1.0 can't interpret as probability...
- The plotting position for this event is interpreted, not as exceedance probability, but as average of k/N events per year

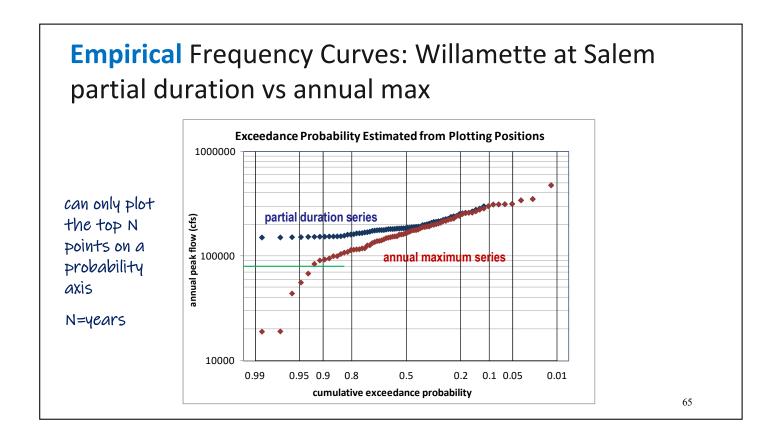
<u>Example</u>	Then the plotting position estimate states					
Smallest event = 1000	that on the average it is expected that 2.0					
k=50, N=25	events per year will exceed 1000 cfs					

64

It is possible to plot partial duration series empirically, with plotting positions. The exceedance probabilities are still interpreted as relative frequencies of equaling or exceeding a value, based on N years. The rank is interpreted the same way, as number of exceedances.

But, rank k might be higher than number-of-years N.

However, it is always possible to interpret as average number of exceedances per year, rather than likelihood of exceedance. This interpretation always makes sense.



AMS and PDS curves are very similar at the top. But, they tend to differ quite a lot below the median, and often start to diverge below 10%.

We use PDS when we care about the lower end of the annual maximum frequency curve.

Analytical Analysis – PDS vs AMS Differences

- Analytical model for Partial Duration Series has two parts
 - Model for event arrivals (count per year)
 - Model for event **intensity** (magnitude over threshold)
- LP3 is not suitable for modeling flow magnitude
 - Ideal model is the Generalized Pareto distribution for intensity (and Poisson for arrival)
- Establishing independence of peaks is more challenging

66

Topics

Assumption

Annual Extremes

independence

- annual maximums or minimums, Bulletin 17B/C
- Instantaneous flows or longer duration avg flow/volume
- Partial Duration (peaks over threshold)

independence

- Daily flows or stages
 - Duration Curves

No independence - persistence

Summary Hydrographs

67

These are the topics I'll cover. We divide the time period of the data, and whether we use all the data or isolate the extremes. These choices affect the assumptions we can make about the data set. Isolating annual extremes provides enough time between values that we can assume independence. Using all the data at a daily step, the values are persistent, and can't assume independence.

Flow/Stage Duration Curve

Fraction of days exceeding a given value, referred to as percent of time exceeded

OR

not necessarily the annual extreme...

Estimate of the likelihood that a <u>daily flow</u> will exceed a particular level (refers to future, not soon...)

- Graphical display of stream characteristics
- Navigation, run-of-river hydropower, water supply

68

When using daily data, we compute percent of time exceeded.

Flow- or Stage-Duration Curves

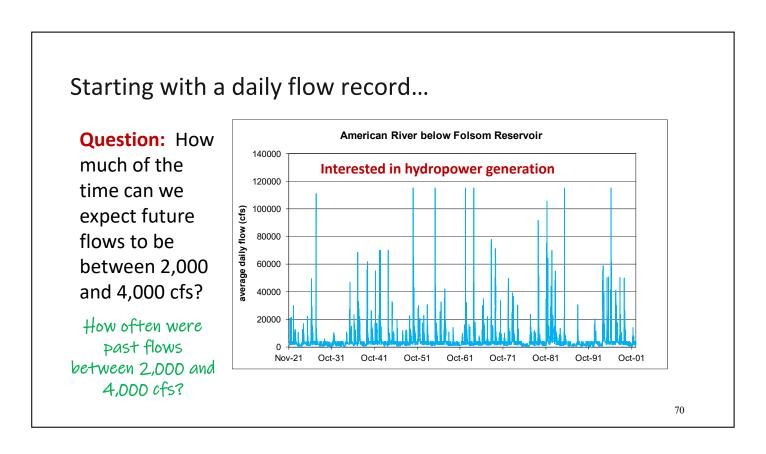
Plot of Flow vs Percent of time exceeded

(see Maidment, 1992, pg. 8.27, 18.53, 27.40)

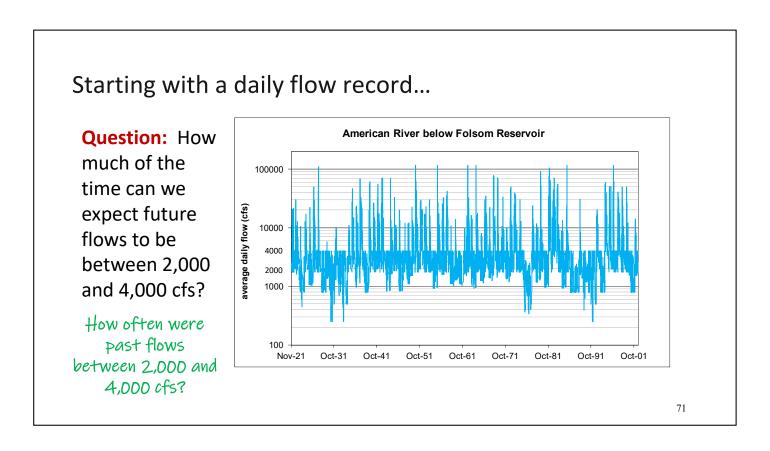
Computation

 Rank all the daily flows for period of record from largest to smallest

69



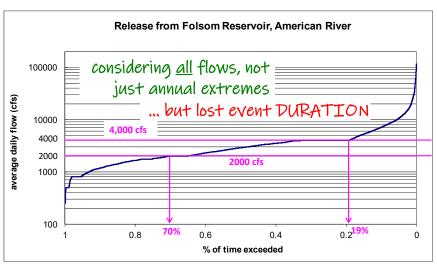
Here's the daily outflow record from the reservoir simulation we've been looking at.



This is the daily outflow record on a log(flow) axis, so we can see the 2000 - 4000 cfs range more clearly.

Order the data from low to high, and compute relative frequency of exceedance

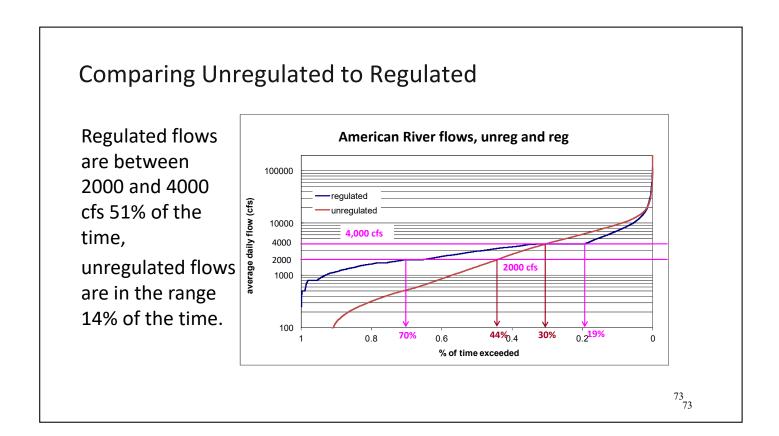
More than 4000 cfs is released 19% of the time, and at least 2000 cfs is released 70% of the time, so, 51% between 2000 and 4000 cfs



72 72

We can plot every value versus its percent of time exceeded.

Though this is called a "duration curve," we do not maintain information about the duration of time of each exceedance of a given flow. All days of exceedance are clumped together.



The red curve uses the same process of generating a duration curve, but for unregulated flow. By comparing, it's clear that the reservoir allows release to be within the hydropower generation range much more often than a run-of-river hydropower plant would have.

Flow Duration Analysis Cautions

- Data points are <u>not independent</u>, so frequency does not approximate probability in the <u>near term</u>
 - Only approximates probability in future
 - An estimate of daily probability, not annual
- Analytical distributions do not fit data
- Need sufficient record length to estimate distribution tails

74

Topics

√ independence

Assumption

- Annual Extremes
 - annual maximums or minimums, Bulletin 17B/C
 - Instantaneous flows or longer duration avg flow/volume
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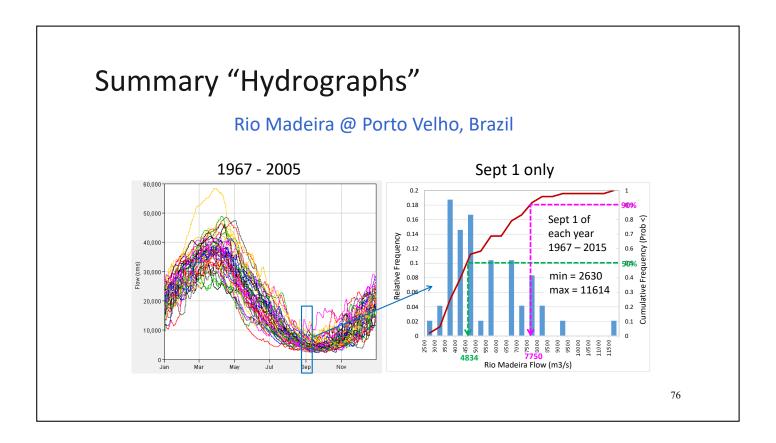
independence

- Daily flows or stages
 - Duration Curves
 - Summary Hydrographs

No independence - persistence

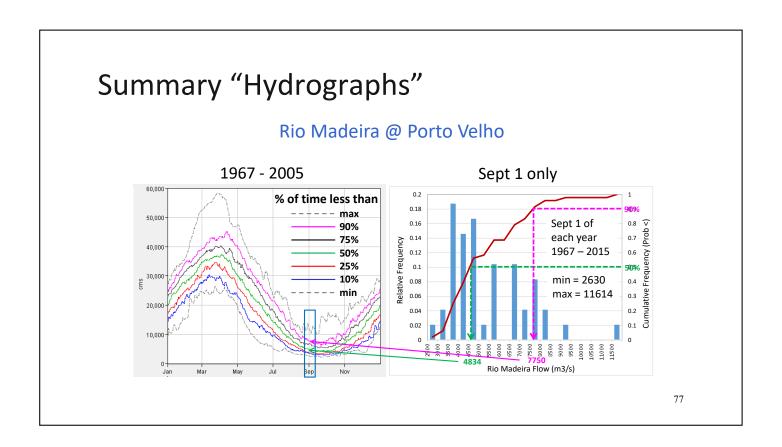
75

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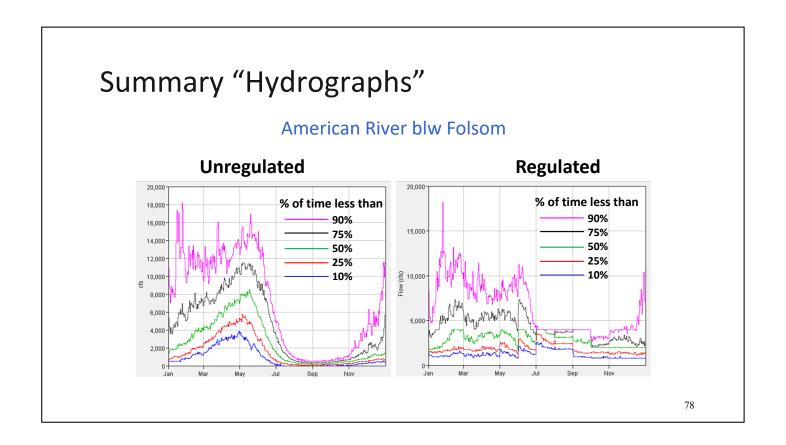


Summary hydrographs look at day of year, to give an overall summary of flow at a site across seasons. The method does a frequency analysis for each day of the years, and either plots all values, or plots summary values.

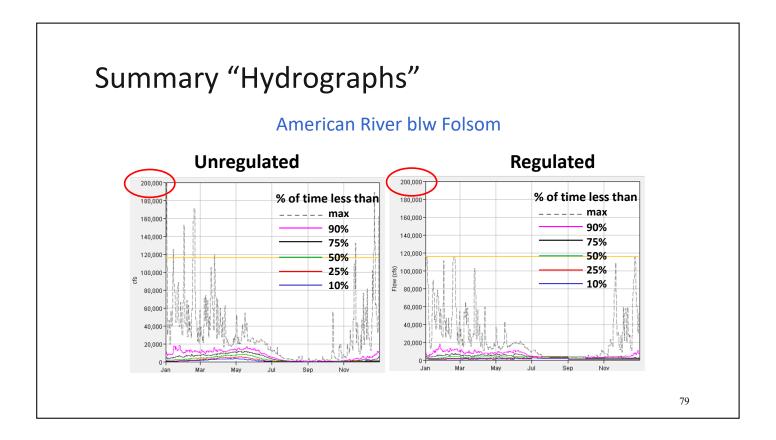
This image shows the frequency analysis for Sept 1 on the right, as a histogram and a cumulative histogram.



Given the frequency analysis for each day, the left plot can show percentiles, rather than all flow years. Note that the curves on the left are not really hydrographs. The daily values do not follow each other.



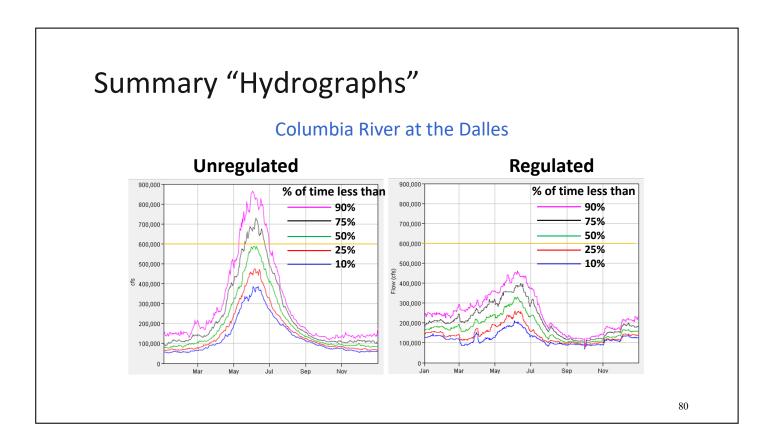
Summary "hydrographs" for American River, unregulated and regulated. Note wet winter and dry summer, and how regulated record shows lower high flows, and higher low flows.



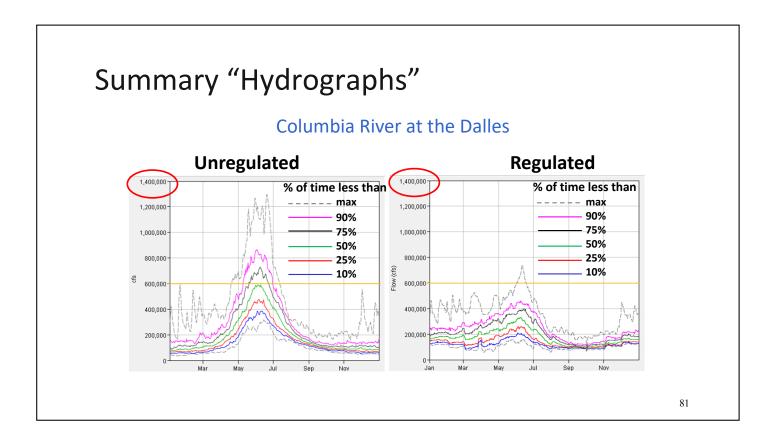
Summary "hydrographs" for American River, unregulated and regulated.

This version includes maximums for each day. Note, we see nearly all winter flood events, because they usually happened on different calendar dates. However, it makes it more clear that the max "hydrograph" doesn't represent a flow time-series that can occur.

Seeing the maximum flows makes it clear that the reservoir is able to maintain each of the historical events to the channel capacity.



Summary "hydrographs" for Columbia River, unregulated and regulated. Note snowmelt in spring, and how regulated record shows a large decrease in the snowmelt flood flow.



Seeing the maximum flows makes it clear that the reservoir system is able to maintain nearly all of each of the historical events to the channel capacity.

Topics

Assumption

- Annual Extremes (Flow or Stage Frequency Curves)
 - annual maximums or minimums, Bulletin 17B/C independence
 - Instantaneous flows or longer duration avg flow/volume
- Partial Duration (peaks over threshold)

independence

- Daily flows or stages
 - Duration Curves

No independence - persistence

Summary Hydrographs

82

References

- Corps of Engineers, 1993. Hydrologic Frequency Analysis, EM–1110-2-1415, Department of the Army, Washington D.C.
- Dracup, J.A., Lee K.S., and E.G. Paulson, Jr., 1980, On the Definition of Droughts, Water Resources Research, April, v16(2), p297-302.
- Hydrologic Engineering Center, 1985. Stochastic Analysis of Drought Phenomena, TD 25, p 140.
- Maidment, Davis R. (editor), 1992. Handbook of Hydrology, Mcgraw-Hill, Inc., New York.

83